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PTO/SB/21 (09-04) (AW 10/2004)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (Do not be used for all correspondence after initial filing)	Patent Number	6,862,401 / 09711613
	Issue Date	March 1, 2005
	First Named Inventor	Takashi Higashida et al. Certificate
	Art Unit	2616 JUN 14 2005
	Examiner Name	Robert Chevalier of Correction
Total Number of Pages in This Submission		Attorney Docket No. MTS-3224US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Certificate of Correction; PTO-1050; Copy of Declaration/Power of Atty.; Copy of IDS and PTO-1449; Return Postcard
Remarks:		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Firm Name	RatnerPrestia		
Signature	<i>Daniel N. Calder</i>		
Printed Name	Daniel N. Calder		
Date	June 2, 2005	Registration No.	27,424

CERTIFICATE OF TRANSMISSION / MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature	<i>Deborah Spratt</i>		
Typed or Printed Name	Deborah Spratt	Date	June 2, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUN 15 2005

MTS-3224US

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Number: 6,862,401 B1
Issued: March 1, 2005
Name of Patentee: Takashi Higashida, et al.
Title of Invention: RECORDING APPARATUS, METHOD AND
PROGRAM RECORDING MEDIUM

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT
FOR PTO MISTAKE (37 C.F.R. § 1.322(a))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: Certificate of Correction Branch

1. Attached in duplicate is Form PTO-1050 with at least one copy being suitable for printing.
2. Correction of the Official Letters Patent is respectfully requested in view of the following text which appears correctly in the application file:

On the cover page of the Letters Patent, item (75) Inventors, please insert:
-- Yoshiki Kuno, Moriguchi (JP) --
as shown on the Declaration and Power of Attorney filed November 13, 2000

On the cover page of the Letters Patent, item (56) References Cited
U.S. Patent Documents, please insert:
-- 5,548,757 8/1996 Matsuyama et al. --
as shown on the PTO-1449 mailed January 20, 2005

On the cover page of the Letters Patent, item (56) References Cited
Foreign Patent Documents, please insert:
-- EP 0 971 358 A 1/2000 --
-- EP 0 399 853 A 11/1990 --
-- EP 1 037 211 A 9/2000 --
-- EP 0 584 804 A 3/1994 --
as shown on the PTO-1449 mailed January 20, 2005

JUN 15 2005

3. Please send the Certificate to:

Name: Daniel N. Calder
P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

Respectfully submitted,

Daniel N. Calder

Daniel N. Calder, Reg. No. 27,424
Attorney for Applicants

DNC/ds

Enclosure: Form PTO-1050 (in duplicate)
Copy of IDS and PTO Form 1449
Copy of Declaration and Power of Attorney

Dated: June 2, 2005

P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 Attn: Decision and Certificate of Correction Branch of the Patent Issue Division on June 2, 2005.

Deborah Spratt

Deborah Spratt

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Nov. 13 20 00

Hon. Commissioner of Patents & Trademarks

Please acknowledge receipt of the document(s) described below by imprinting the Patent & Trademark Office "date-stamp" hereon and returning this card to the addressee indicated on the reverse side.

FILE NO. 32244 FEE DUE? ☒ YES NO AMT ENC'D \$980.00 + \$40.00

NAME: J. Higashida et al.

DOCUMENTS: Form 1082(2) spec & claims (36pp)

Doc POA (9) sheets drawings Claim Right

& Priority w/ priority document, Form 1595

Interim Preliminary Document (64pp)

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11/13/00
09/711613
J0814 U.S. PTO

Happy
New Patent apph

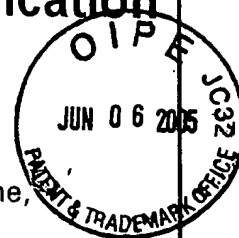


NOV 30 2000
SHIMAZU
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SHIMAZU

Declaration and Power of Attorney For Patent Application

English Language Declaration



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Recording Apparatus And Program Recording Medium

the specification of which is attached hereto unless the following box is checked:

☐ was filed on _____ as
United States Application Number or PCT International Application Number _____
and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Hei11-323,366

JAPAN

November 12, 1999

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Paul F. Prestia	Reg.No. 23,031	Lawrence E. Ashery	Reg.No. 34,515	Mark J. Marcelli	Reg.No. 36,593
Allan Ratner	Reg.No. 19,717	Robert L. Anderson	Reg.No. 25,771	Christopher J. Dervishian	Reg.No. 42,480
Andrew L. Ney	Reg.No. 20,300	Christopher R. Lewis	Reg.No. 36,201		
Kenneth N. Nigon	Reg.No. 31,549	Louis W. Beardell, Jr.	Reg.No. 40,506		
Kevin R. Casey	Reg.No. 32,117	Rocco L. Adornato	Reg.No. 40,480		
Benjamin E. Leace	Reg.No. 33,412	Jacques L. Etkowicz	Reg.No. 41,738		
James C. Simmons	Reg.No. 24,842	Eric A. Dichter	Reg.No. 41,708		

Address all correspondence to: _____

Ratner & Prestia, Suite 301, One Westlakes, Berwyn, P.O. Box 980, Valley Forge, PA 19482-0980

Address all telephone calls to: _____ at (610) 407-0700.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name) Takashi HIGASHIDA

Inventor's signature _____

東田 隆司Date October 30, 2000Residence Kobe-shi, Hyogo JAPANCitizenship JAPANPost Office Address 6-6-614-229, Koyochi Naka, Higashinada-ku, Kobe-shi, Hyogo 658-0032 JAPANFull name of second joint inventor, if any (given name, family name) Hideki TAKAHASHI

Second Inventor's signature _____

高橋 英基Date October 30, 2000Residence Kawanishi-shi, Hyogo JAPANCitizenship JAPANPost Office Address 10-14, Midoridai 3chome, Kawanishi-shi, Hyogo 666-0129 JAPAN

Additional inventors are being named on separately numbered sheets attached hereto.

Full name of third joint inventor, if any (given name, family name) Kazuhiro MIHARA

Third inventor's signature 三原和博 Date October 30, 2000

Residence Moriguchi-shi, Osaka JAPAN

Citizenship JAPAN

Post Office Address 6 Higashi, 1-1004, Sotojimacho, Moriguchi-shi, Osaka 570-0096 JAPAN

Full name of fourth joint inventor, if any (given name, family name) Yoshiki KUNO

Fourth inventor's signature 久野良樹 Date October 30, 2000

Residence Moriguchi-shi, Osaka JAPAN

Citizenship JAPAN

Post Office Address 14-26-204, Oedanishimachi, Moriguchi-shi, Osaka 570-0054 JAPAN

Full name of fifth joint inventor, if any (given name, family name) Yuji TANIGAWA

Fifth inventor's signature 谷川裕二 Date October 30, 2000

Residence Hirakata-shi, Osaka JAPAN

Citizenship JAPAN

Post Office Address 1-6-26, Deguchi, Hirakata-shi, Osaka 573-0065 JAPAN

Full name of sixth joint inventor, if any (given name, family name) _____

Sixth inventor's signature _____ Date _____

Residence _____

Citizenship _____

Post Office Address _____

Full name of seventh joint inventor, if any (given name, family name) _____

Seventh inventor's signature _____ Date _____

Residence _____

Citizenship _____

Post Office Address _____



January 20th 20 05

Commissioner for Patents & Trademarks

Please acknowledge receipt of the document(s) provided below by imprinting the Patent & Trademark Office "stamp" herein and returning this card to the address(es) indicated on the reverse side.

TO: MTS-322405

YES ☒ AMOUNT ENCLOSED \$780.00

FROM: Takashi Higashida et al.

SENT: Transmitted ~~FILED~~ IDS (2) - 1449 (2) -

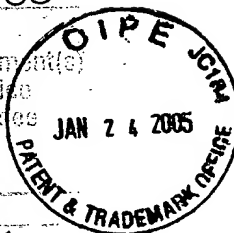
2038 (1) - EP Search Report - Cited references

Section 1.312 Amendment (2) - Pg. 2 Prelim. Amend (1)

JAN 31 2005

Ramirez

09/711,613



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 09/711,613
Applicant: Takashi Higashida, et al.
Filed: November 13, 2000
Title: RECORDING APPARATUS, METHOD AND
PROGRAM RECORDING MEDIUM (as amended)
TC/A.U.: 2616
Examiner: Robert Chevalier

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The references were cited by a foreign patent examining authority in connection with the examination of a counterpart of the above identified application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609A(3), second paragraph.

**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

The undersigned hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

The required fee of \$180. set forth in 37 C.F.R. § 1.17(p) is provided herewith.

Respectfully submitted,

Daniel N. Calder, Reg. No. 34,515
Attorney for Applicants

DNC/dmw

Enclosures: PTO Form 1449
(5) References
Search Report
Transmittal Form
PTO-2038

Dated: January 20, 2005

P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

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Donna M. Wellings



(AW 08/03)

Substitute for Form 1449A/PTO

Complete if Known

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 2

Application Number	09/711,613
Filing Date	November 13, 2000
First Named Inventor	Takashi Higashida, et al.
Art Unit	2816
Examiner Name	Robert Chevalier
Attorney Docket No.	MTS-3224US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-5,548,757	08/20/1996	TSUTOSHI MATSUYAMA, ET AL.	
		US-			
		US-			
		US-			
		US-			
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		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		EP 0 971 358 A	01/12/2000	MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.		<input type="checkbox"/>
		EP 0 399 853 A	11/28/1990	SHARP KABUSHIKI KAISHA		<input type="checkbox"/>
		EP 1 037 211 A	09/20/2000	SONY CORPORATION		<input type="checkbox"/>
		EP 0 584 804 A	03/02/1994	MITSUBISHI DENKI KABUSHIKI KAISHA		<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



(AW 08/03)

Substitute for Form 1449B/PTO

Complete if Known

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Application Number 09/711,613

Filing Date November 13, 2000

First Named Inventor Takashi Higashida, et al.

Art Unit 2616

Examiner Name Robert Chevalier

Attorney Docket No. MTS-3224US

SHEET 2 of 2

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		European Search Report for EP 00 12 4561, dated December 15, 2004.	<input type="checkbox"/>
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Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO: **6,862,401 B1**
DATED: **March 1, 2005**
INVENTORS: **Takashi Higashida, et al.**

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Cover page, item (75) Inventors, insert:
-- Yoshiki Kuno, Moriguchi (JP) --

Cover page, item (56) References Cited U.S. Patent Documents, insert:
-- 5,548,757 8/1996 Matsuyama et al.

Cover page, item (56) References Cited Foreign Patent Documents, insert:
-- EP 0 971 358 A 1/2000 --
-- EP 0 399 853 A 11/1990 --
-- EP 1 037 211 A 9/2000 --
-- EP 0 584 804 A 3/1994 --

Mailing Address of Sender:

RatnerPrestia
P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

Patent No. **6,862,401 B1**

No. of Additional Copies: **1**

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If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

JUN 15 2005

UNITED STATES PATENT AND TRADEMARK OFFICE**CERTIFICATE OF CORRECTION****PATENT NO: 6,862,401 B1****DATED: March 1, 2005****INVENTORS: Takashi Higashida, et al.**

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Cover page, item (75) Inventors, insert:

-- Yoshiki Kuno, Moriguchi (JP) --

Cover page, item (56) References Cited U.S. Patent Documents, insert:

-- 5,548,757 8/1996 Matsuyama et al.

Cover page, item (56) References Cited Foreign Patent Documents, insert:

-- EP 0 971 358 A 1/2000 --

-- EP 0 399 853 A 11/1990 --

-- EP 1 037 211 A 9/2000 --

-- EP 0 584 804 A 3/1994 --

Mailing Address of Sender:**Patent No.****6,862,401 B1****No. of Additional Copies: 1**

RatnerPrestia
P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

This collection of information is required by 37 CFR 1.322, 1.323 and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

JUN 15 2005